BEFORE THE REGISTRAR OF CONTRACTORS CONTRACTORS STATE LICENSE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

TOM BEATTIE INC dba ROYAL ROOFING CO

1144 N. Armando Street Anaheim, CA 92806 THOMAS GEOFFREY BEATTIE, RMO/CEO-President Contractor's License No. 289222 C39 CASE NO. N2011-385

ORDER TO ADOPT STIPULATED SETTLEMENT

Respondent.

The attached Stipulated Settlement is hereby adopted by the Registrar of Contractors as her Decision in the above-entitled matter. The failure to comply with any of the terms and conditions as set forth in the provisions of probation will be deemed a violation of probation.

IT IS FURTHER ORDERED that TOM BEATTIE INC dba ROYAL ROOFING CO, License Number 289222, on the effective date of this Decision shall have on file a Disciplinary Bond or post a cash deposit in the amount of \$30,000.00, for a period of not less than <u>three</u> years pursuant to Section 7071.8 of the Business and Professions Code. Any suspension for failing to post a disciplinary bond or a cash deposit, or any suspension for any other reason, shall not relieve the Respondent from complying with the terms and conditions of probation. Furthermore, suspension of the license during the period of probation, for any reason under this chapter, will cause the probationary period to be automatically extended in time equal to the length of time that the license is not in a clear and active status.

IT IS FURTHER ORDERED that respondent shall pay the investigative costs in the amount of \$2,838.50. Monthly payments are to be made in the amount of \$259.00, until completed. Payments are to be made at the end of each month, commencing the first full month after the effective date of this decision.

IT IS THE responsibility of the respondents, named in this Order, to read and follow the Order. The deadlines for meeting the terms and conditions are based upon the EFFECTIVE DATE of this Decision. No notices or reminders will be sent as to compliance with the terms and conditions. Proof of payments of restitution and payments for the Cost of Investigation and Enforcement if ordered, are to be sent to CSLB, Sacramento Case Management, Post Office Box 26888, Sacramento, CA 95826.

This Decision shall become effective on August 10, 2015.

IT IS SO ORDERED July 8, 2015.

Cindi A. Christenson Registrar of Contractors

1 2 3 4 5 6 7 8	KAMALA D. HARRIS Attorney General of California MARC D. GREENBAUM Supervising Deputy Attorney General SHAWN P. COOK Deputy Attorney General State Bar No. 117851 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-9954 Facsimile: (213) 897-2804 Attorneys for Complainant BEFORE THE REGISTRAR OF CONTRACTORS
9	CONTRACTORS' STATE LICENSE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA
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11	In the Matter of the Accusation Against: Case No. N2011-385
12	TOM BEATTIE, INC. DBA ROYAL ROOFING CO.; THOMAS GEOFFREYSTIPULATED SETTLEMENT AND DISCIPLINARY ORDER
13	BEATTIE, RMO/CEO-PRESIDENT 1144 N. Armando Street
14	Anaheim, CA 92806 Contractor's License No. 289222
15	Respondent.
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18	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
19	entitled proceedings that the following matters are true:
20	PARTIES
21	1. Wood Robinson ("Complainant") is the Enforcement Supervisor I of the Contractors'
22	State License Board. He brought this action solely in his official capacity and is represented in
23	this matter by Kamala D. Harris, Attorney General of the State of California, by Shawn P. Cook,
24	Deputy Attorney General,
25	2. Respondent Tom Beattie, Inc. dba Royal Roofing Co.; Thomas Geoffrey Beattie,
26	RMO/CEO-President ("Respondent") is represented in this proceeding by attorney Thomas Song,
27	Esq., whose address is: Ogletree, Deakins, Nash, Smoak & Stewart, P.C., 695 Tower Center
28	Drive; Park Tower, Suite 1500; Costa Mesa, CA 92626.
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ļ	STIPULATED SETTLEMENT (N2011-385)

3. On or about December 14, 1973, the Contractors' State License Board issued
 Contractor's License No. 289222 to Tom Beattie, Inc. dba Royal Roofing Co.; Thomas Geoffrey
 Beattie, RMO/CEO-President (Respondent). The Contractor's License was in full force and
 effect at all times relevant to the charges brought in Accusation No. N2011-385 and will expire
 on July 31, 2015, unless renewed.

JURISDICTION

Accusation No. N2011-385 was filed before the Registrar of Contractors (Registrar)
for the Contractors' State License Board, Department of Consumer Affairs, and is currently
pending against Respondent. The Accusation and all other statutorily required documents were
properly served on Respondent on August 9, 2013. Respondent timely filed its Notice of Defense
contesting the Accusation.

12 5. A copy of Accusation No. N2011-385 is attached as exhibit A and incorporated
herein by reference.

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ADVISEMENT AND WAIVERS

6. Respondent has carefully read, fully discussed with counsel, and understands the
charges and allegations in Accusation No. N2011-385. Respondent has also carefully read, fully
discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary
Order.

7. Respondent is fully aware of its legal rights in this matter, including the right to a
hearing on the charges and allegations in the Accusation; the right to be represented by counsel at
its own expense; the right to confront and cross-examine the witnesses against them; the right to
present evidence and to testify on its own behalf; the right to the issuance of subpoenas to compel
the attendance of witnesses and the production of documents; the right to reconsideration and
court review of an adverse decision; and all other rights accorded by the California
Administrative Procedure Act and other applicable laws.

8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and
every right set forth above.

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1	CULPABILITY
2	9. Respondent understands and agrees that the charges and allegations in Accusation
[`] 3	No. N2011-385, if proven at a hearing, constitute cause for imposing discipline upon its
4	Contractor's License.
5	10. For the purpose of resolving the Accusation without the expense and uncertainty of
6	further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual
7	basis for the charges in the Accusation, and that Respondent hereby gives up its right to contest
8	those charges.
9	11. Respondent agrees that its Contractor's License is subject to discipline and they agree
10	to be bound by the Registrar's probationary terms as set forth in the Disciplinary Order below.
11	RESERVATION
12	12. The statements made by Respondent herein are only for the purposes of this
13	proceeding, or any other proceedings in which the Registrar of Contractors, Contractors' State
14	License Board, or other professional licensing agency is involved, and shall not be admissible in
15	any other criminal or civil proceeding.
16	<u>CONTINGENCY</u>
17	13. This stipulation shall be subject to approval by the Registrar of Contractors or his
18	designee. Respondent understands and agrees that counsel for Complainant and the staff of the
19	Contractors' State License Board may communicate directly with the Registrar regarding this
20	stipulation and settlement, without notice to or participation by Respondent or its counsel. By
21	signing the stipulation, Respondent understands and agrees that they may not withdraw its
22	agreement or seek to rescind the stipulation prior to the time the Registrar considers and acts upon
23	it. If the Registrar fails to adopt this stipulation as its Decision and Order, the Stipulated
24	Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall
25	be inadmissible in any legal action between the parties, and the Registrar shall not be disqualified
26	from further action by having considered this matter.
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STIPULATED SETTLEMENT (N2011-385)

14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.

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This Stipulated Settlement and Disciplinary Order is intended by the parties to be an
integrated writing representing the complete, final, and exclusive embodiment of their agreement.
It supersedes any and all prior or contemporaneous agreements, understandings, discussions,
negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary
Order may not be altered, amended, modified, supplemented, or otherwise changed except by a
writing executed by an authorized representative of each of the parties.

16. In consideration of the foregoing admissions and stipulations, the parties agree that
the Registrar may, without further notice or formal proceeding, issue and enter the following
Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Contractor's License No. 289222 issued to Respondent
Tom Beattie, Inc. dba Royal Roofing Co.; Thomas Geoffrey Beattie, RMO/CEO-President
(Respondent) is revoked. However, the revocation is stayed and Respondent is placed on
probation for three (3) years on the following terms and conditions.

Obey All Laws. Respondent shall comply with all federal, state and local laws,
 including all building laws and uniform codes, governing the activities of a licensed contractor in
 California.

2. Interviews With Enforcement Representative. Respondent and any of
 Respondent's personnel of record shall appear in person for interview with the Registrar or
 designee upon request and reasonable notice during the probationary period.

3. Completion Of Probation. Upon successful completion of probation, the
Contractor's License will be fully restored.

4. Violation Of Probation. If Respondent violates probation or any condition of
probation in any respect, the Registrar, after giving notice and opportunity to be heard, may
revoke probation and impose the disciplinary order that is stayed. If an accusation or petition to

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revoke probation is filed against Respondent during the probationary period, then the Registrar shall have continuing jurisdiction of this matter until the subsequent matter is final, and the period of probation and all the conditions of probation in this matter shall be extended until the subsequent matter is final. If there is an order to make restitution or pay cost recovery and Respondent fails to comply with the restitution or cost recovery order and make a payment, the Registrar may immediately lift the stay and reimpose the disciplinary order without giving Respondent an opportunity to be heard.

Disciplinary Bond. Not later than the effective date of the decision, Respondent 5. 8 shall file or have on file a disciplinary contractor's bond in a sum to be fixed by the registrar 9 based upon the seriousness of the violation, but which sum shall not be less than fifteen thousand 10 dollars (\$15,000) nor more than 10 times that amount required by Business and Professions Code 11 section 7071.6. The disciplinary bond is in addition to, may not be combined with, and does not 12replace any other type of contractor's bond. The disciplinary bond shall remain on file with the 13 registrar for a period of at least two years and for such additional time as the registrar may 14 determine, as required by Business and Professions Code section 7071.8. 15

6. Production of Documents. Respondent shall submit copies of documents directly
related to construction operations to the Registrar or designee upon demand during the
probationary period.

7. Construction Contracts. Respondent shall submit copies of all construction 19 contracts to the Registrar or designee for approval upon demand during the probationary period. 208. Cost Recovery. Respondent shall pay to the Registrar pursuant to Business and 21 Professions Code section 125.3 the costs of investigation and enforcement in this matter in the 22 amount \$2,838.50 within one year of the effective date of the Decision and Order. Payments 23 shall be made in equal monthly installments beginning thirty (30) days from the effective date of 24 2.5the Decision and Order.

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ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Thomas Song, Esq.. I understand the stipulation and the effect it

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will have on my Contractor's License. I enter into this Stipulated Settlement and Disciplinary 1 Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order 2 of the Registrar of Contractors, Contractors' State License Board. 3 4 DATED: 5 ATTIE, INC. DBA ROYAL ROOFING CO .: 6 THOMAS GEOFFREY BEATTIE, RMO/CEO-PRESIDENT 7 Respondent 8 I have read and fully discussed with Respondent Tom Beattie, Inc. dba Royal Roofing Co.; 9 Thomas Geoffrey Beattie, RMO/CEO-President the terms and conditions and other matters \cdot 10 contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and 11 content. 6/3/2005 12 DATED: Thomas Song, Esq. Attorney for Respondent 13 14 15 ENDORSEMENT 16 The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully 17 submitted for consideration by the Registrar of Contractors, Contractors' State License Board. Dated; 18 Respectfully submitted. 20 19 KAMALA D. HARRIS Attorney General of California 20MARC D, GREENBAUM Supervising Deputy Attorney General 21 22 SHAWN P. COOK 23 Deputy Attorney General Attorneys for Complainant 2425 26 LA2012506918 27Tom Beattie Stip Final, docx 28б STIPULATED SETTLEMENT (N2011-385)